

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF GEORGIA
ATLANTA DIVISION**

**UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,**

Plaintiff,

v.

**HOPE ADVISORS, LLC, KAREN
BRUTON, TODD WORTMAN, and
DAWN ROBERTS,**

Defendants.

Case No.: 1:16-CV-01752-LMM

THE DISTRIBUTION AGENT'S SECOND STATUS REPORT

JND Legal Administration (“JND”), the Court-appointed Distribution Agent in the above-captioned matter, respectfully submits this Status Report pursuant to this Court’s Order to Appoint a Distribution Agent entered November 26, 2019 (the “Order”, ECF No. 142) and the Amended Plan of Distribution approved on March 1, 2021 by the Court (the “Plan”, ECF No. 145-1). By the Order, this Court appointed JND as the Distribution Agent in this action (the “Fair Fund”), to oversee the administration and distribution of the Fair Fund in coordination with the Securities and Exchange Commission’s (“SEC”) counsel of record. Pursuant to paragraph 47 of

the Plan, the Distribution Agent is required to provide the Court and the SEC quarterly status reports. This report covers the period through July 19th, 2021.

Tasks Performed by the Distribution Agent Since the First Status Report

Since the First Status Report, JND has performed the following tasks in connection with its role as the Court-appointed Distribution Agent in the instant case:

- Continued to maintain and monitor the toll-free number, distribution website, and case specific database;
- Sent and remailed as applicable the Distribution Plan Notice to Potentially Eligible Investors by standard mail, and solicited information needed to accomplish the distribution in accordance with Plan;
- Reviewed submissions from Potentially Eligible Investors and updated the Investor Database with corrected information;
- Followed-up with Potentially Eligible Investors who failed to provide a properly completed Certification Form;
- Responded to inquiries by Potentially Eligible Investors and their representatives and assisted them in submitting the information requested;
- Established the Escrow Account and Deposit Account

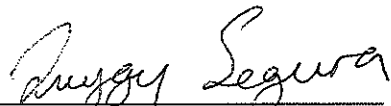
Anticipated Next Steps

JND will continue to execute the Plan, including:

- Perform all activities necessary to prepare for the distribution of the Fair Fund in accordance with the Plan;
- Upon receipt of funds from the SEC, issue Distribution Payments to Eligible Investors; and
- Coordinate with the Tax Administrator to ensure timely compliance with all tax related obligations;
- Conduct outreach on returned/rejected payments and uncashed checks as applicable; and
- File a Third Status Report with accounting report as required by the Plan on or before October 20, 2021.

Dated: July 20th, 2021

Respectfully submitted,

By: 
Luiggy Segura

JND Legal Administration
Distribution Agent
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