

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF GEORGIA  
ATLANTA DIVISION**

UNITED STATES SECURITIES )  
AND EXCHANGE COMMISSION, )  
 )  
Plaintiff, )  
 ) Case No. 1:16-CV-01752-LMM  
v. )  
 )  
HOPE ADVISORS, LLC, KAREN )  
BRUTON, TODD WORTMAN, and )  
DAWN ROBERTS, )  
 )  
Defendants. )  
\_\_\_\_\_ )

**PLAINTIFF’S NOTICE OF THE FILING OF THE DISTRIBUTION  
AGENT’S SECOND PROGRESS REPORT**

Pursuant to this Court’s Order entered March 1, 2021 [Dkt. No. 146],  
approving the Amended Plan of Distribution [Dkt. No. 145], Plaintiff Securities  
and Exchange Commission (the “SEC”) hereby files, on behalf of JND Legal

Administration (“JND”), the Distribution Agent’s Second Progress Report,  
attached hereto as Exhibit 1.

Dated: July 20, 2021

Respectfully Submitted,

/s/ Devon A. Brown

Devon A. Brown

Maryland Bar

Trial Attorney

Office of Distributions

Division of Enforcement

U.S. Securities and Exchange

Commission

100 F Street, N.E.

Washington, D.C. 20549-5876

Phone: (202) 551-4582

Fax: (301) 623-1188

Email: [Brownde@sec.gov](mailto:Brownde@sec.gov)

**CERTIFICATE OF SERVICE**

I hereby certify that on July 20, 2021, a copy of the Plaintiff's Notice of the Distribution Agent's Second Progress Report was filed electronically. Notice of this filing will be sent by operation of the Court's electronic filing system to all parties indicated on the electronic filing receipt. Parties may access this filing through the Court's system.

/s/ Devon A. Brown  
Devon A. Brown

**CERTIFICATE OF COMPLIANCE**

I hereby certify that, pursuant to local Rules 5.1C and 7.1D of the United States District Court for the Northern District of Georgia the foregoing Notice of the Distribution Agent's Second Progress Report was prepared in Times New Roman 14 point font, double-spaced, with a top margin of not less than 1.5 inches and a left margin of not less than 1 inch.

This 20<sup>th</sup> day of July, 2021

Respectfully Submitted,

/s/ Devon A. Brown

Devon A. Brown

Maryland Bar

Trial Attorney for SEC

Office of Distributions

Division of Enforcement

100 F Street, N.E.

Washington, D.C. 20549-5876

Phone: (202) 551-4582

Fax: (301) 623-1188

Email: Brownde@sec.gov